

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants : Yoshizo Mihara, et al.  
Serial No. : 09/437,016  
For : DATA RECORDING AND/OR REPRODUCING  
APPARATUS  
Filed : November 9, 1999  
Examiner : Fletcher, James A.  
Art Unit : 2621  
Confirmation No. : 7418

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**CERTIFICATE OF ELECTRONIC FILING**

I hereby certify that this correspondence is being transmitted via  
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Andrew Breland  
(Name of person signing/transmitting)  
Signature  
December 18, 2006  
Date of Signature

**RESPONSE UNDER 37 C.F.R. § 1.121**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Office Action mailed on September 19, 2006, having a three-month statutory period for response set to expire on December 19, 2006, please consider the following remarks.

**The Listing of Claims** begins on page 3 of this paper.

**Remarks/Arguments** begin on page 10 of this paper.